

## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### **Register Section: 3- NON EU ORGANIZATION - Financial Institutions NON EU REGISTRANT - CERTIFICATION**

Please do not send this document to the EU-ECR Register by post or e-mail. Registration must be done online at (<http://www.exportcompliance.eu/reg/registration.php>)

**Sections to Register**

**In which section do you want to register?**

Nature /Status \*  Organization /Company  Individual/Natural person

Category \*  Supplier  Buyer  Paying Agent  Other

Category Sections \*

If other please specify

EIFEC Certification  EU Entities  Non EU Entities

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EU/NON EU \*  EU Registrant  NON EU Registrant



**Registrant : Organization / Company**

Company name: \*

Acronym: \*

Legal Status: \*

Field of Activities \*  [Add Activity](#)

website \*   
examples: <http://www.exportcompliance.eu> or <http://eifec.eu>



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### Contact Details NON EU Organization / Individual

Contact details of Registrant's Head Office

Street *	<input type="text"/>
Address 2	<input type="text"/>
P.O. box:	<input type="text"/>
Post code: *	<input type="text"/>
City *	<input type="text"/>
Country NON EU	<input type="text" value="NON EU STATES"/>
Telephone number: *	<input type="text"/>
Mobile number *	<input type="text"/>
Fax number *	<input type="text"/>

**Here you can enter more contact details for your organisation that you think useful:**

EXAMPLE



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### Person with Legal Responsibility

Title	<input type="text" value="Please select..."/>
Given Name: *	<input type="text"/>
Middle name	<input type="text"/>
Family Name *	<input type="text"/>
Position *	<input type="text"/>
Residence Address	<input type="text"/>
Street *	<input type="text"/>
Address 2	<input type="text"/>
P.O. box:	<input type="text"/>
Post code: *	<input type="text"/>
City *	<input type="text"/>
Country of residence *	<input type="text" value="EU Member States and World"/>
Telephone number: *	<input type="text"/>
Mobile number *	<input type="text"/>
Fax number *	<input type="text"/>
Email: *	<input type="text"/>
Citizenship *	<input type="text" value="EU Member States and World"/>
Passport n. *	<input type="text"/>
Passport Issuing Date	<input type="text"/>
Passport Expiry date *	<input type="text"/>
Date of Birth *	<input type="text"/>
City of Birth *	<input type="text"/>
Country EU and world *	<input type="text" value="EU Member States and World"/>

**Here you can enter more contact details for your organisation that you think useful:**



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

**Person in charge of EU relations**

Title \*

Given Name: \*

Middle name

Family Name \*

Telephone number: \*

Mobile number \*

Fax number \*

Email: \*

This email will be the User name for accessing EIFEC services and Support

Position \*

**Here you can enter more contact details for your organisation that you think useful:**

**Are the interests your organization scope:**

Global  European  National  Regional



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### Organization Structure: Ultimate Beneficial Owner declaration

Regulations on the prevention of money laundering, the financing of terrorism and others, requires financial institutions to fulfill a number of client identification obligations. One such obligation consists in identifying the **Ultimate Beneficial Owners (UBO)** of their clients.

Within the meaning of the Regulations, the Ultimate Beneficial Owners of a legal entity are the private individuals who directly or indirectly hold or control a stake of at least 25% in the capital or of at least 25% of the voting rights of the company, or who undertake the *de jure* or *de facto* management of the legal entity (**Decision Maker**).

Please complete this form by carefully answering all the questions and providing the information requested.

Please also note that, by law, all shareholders with a stake of 25% or more in companies which have issued bearer shares are required to declare their holdings to the company.

Total number of members/Owners that are natural persons:

Total number of member/ owners/organisations:

**Shareholder Ultimate Beneficial Owners (UBO)** are the following private individuals who hold or control at least 25% in the capital or at least 25% of the voting rights in the company. (Max 4 UBO)

Family name \*

Given Name \*

Address \*

Position in the organization \*

% of Shares / Voting Rights \*

[Add another Ultimate Beneficial Owner](#)

**Decision makers.** In general this refers to the persons who have a formal mandate within the Board of the company. These are for example the persons who are named (or equivalent of) Chief Executive Officer (CEO), Chief Financial Officer (CFO) or Treasurer. It is required to list all key level mandate holders including their function. (Max 4 Names)

Family name \*

Given Name \*

Address \*

Position in the organization \*

[Add another Decision Maker](#)

### Number of persons working for our organization

Number of persons: \*

Additional Information



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### Countries you trade with mainly

EU countries: \*

- AUSTRIA  BELGIUM  BULGARIA  CYPRUS  CROATIA  CZECH REPUBLIC  
 DENMARK  ESTONIA  FINLAND  FRANCE  GERMANY  GREECE  HUNGARY  
 IRELAND  ITALY  LATVIA  LITHUANIA  LUXEMBOURG  MALTA  NETHERLANDS  
 POLAND  PORTUGAL  ROMANIA  SLOVAKIA  SLOVENIA  SPAIN  SWEDEN  
 UNITED KINGDOM

NON EU Countries ( max 5)

[Add another non EU Country](#)

### Financial Data

1) Current year or your organisation's last financial year

Financial year end \*

2) Specify your organisation's overall financial /economical data. Data should be given in €, US \$ or Yen

Currency of data

Total Turnover/Sales \*

Total Import from EU \*

Total export to EU \*

Total Assets

Other (financial) information you might like to give in the interest of transparency:



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### **Code Of Conduct - EU Export Compliance Commitment**

We hereby adopt the European Charter for Export Compliance (CHARTER) as management of import/export framework and we make our commitment to implement the Principles and guidelines as guiding values of our activities.

Compliance provides support in compliance risk management, i.e. the risk of legal or administrative sanctions, financial losses or reputation deterioration for failing to comply with EU laws, regulations and legislation, codes of conduct and good practice

Our Organization is committed to compliance with all Export controls in the EU regulations and norms and policy for all employees.

Any of our Employees may not import/ re-route re-export any commodity, technology, or software unless appropriate authorization has been obtained by the EU Supplier, and this includes foreign-produced items that are the direct product of EU, technology and software.

No activities will be undertaken that are in violation of the EU policies which seek to control nuclear proliferation, missile technology, and chemical and biological weapons.

Failure to comply with these regulations may result in the imposition of criminal and/or civil fines and penalties.

We will act and communicate in a transparent manner, in our relation with EU and member states Institutions, and all stockholders about operating EU export compliance policy, and the economic, financial and legal implications of each activity undertaken.

It is included our commitment to EU transparency Code of Conduct norms where in relations with the EU institutions and their Members, officials and other staff we will always:

- identify ourselves by name and by the entity or entities we work for or represent; declare the interests, objectives or aims promoted and, where applicable, specify the clients or members whom we represent;
- not obtain or try to obtain information, or any decision, dishonestly, or by use of undue pressure or inappropriate behavior;
- not claim any formal relationship with the EU or any of its institutions in our dealings with third parties, nor misrepresent the effect of registration in such a way as to mislead third parties or officials or other staff of the EU except those provided by EU Export Compliance Certification ;
- ensure that, to the best of our knowledge, information which we provide upon this registration and subsequently in the framework of our activities within the scope of the registration is complete, up-to-date and not misleading;
- not induce Members of the EU institutions, officials or other staff of the EU, or assistants or trainees of those Members, to contravene the rules and standards of behavior applicable to them;
- if employing former officials or other staff of the EU or assistants or trainees of Members of the EU institutions, respect the obligation of such employees to abide by the rules and confidentiality requirements which apply to them;
- observe any rules laid down on the rights and responsibilities of former Members of the European Parliament and the European Commission;
- inform whomever we represent of our obligations towards the EU institutions;



## EUROPEAN EXPORT COMPLIANCE REGISTER /EU-ECR

### Password

#### Please select a password

You'll be using this password to log in to the help desk from now on.

Password \*

Confirm new password \*

#### Password requirements:

- must include letters in mixed case and numbers
- must be at least 6 characters
- must include a character that is not a letter or number

I have read the Code of Conduct and its rules and declare that my organisation agrees to abide by the code and the European Charter for Export Compliance. Therefore I request to be admitted as candidate Charter Member of the European Institute of Export Compliance. I wish to notify that I subscribed to the following Code of Conduct: \*

Full name of signatory \*

EXAMPLE